



Custodian services and brokerage

Valid as of
30.01.2020

Custodian services

Maintenance of custody account (portfolio)

Safe-keeping charge (charged quarterly) ¹	0,15% p.a. (min. 100 EUR per year)
Monthly maintenance of segregated account for client with sub-custodian (Euroclear, Clearstream), per sub-custodian account	Monthly maintenance is paid based on individual invoices

Custodian transfers

Transfer of securities DVP or FOP to/from external custody	50 EUR
Change or cancellation order in external custody	15 EUR

Corporate actions (CA), taxation

Forwarding notifications of CA obtained from sub-custodians/issuers to a client	Free
Client initiated requests for information on CA to a sub-custodian	50 EUR per request
CA initiated by the issuer e.g. additional issue/exchange of securities, repurchase after liquidation, liquidation etc.	Free
CA/disclosures to the issuer at the client's discretion for request: capital increase, IPO, exchange offer, conversion, exercise of warrants, optional dividend, reinvestment funds and other	50 EUR per instruction
CA at the clients discretion for voting instructions	50 EUR per voting instruction
Certification of client's holdings for relevant markets through sub-custodian	50 EUR per certification
Assistance in obtaining tax relief under a Double Taxation Treaty directly from an issuer	Charged individually per client's request on a case by case basis, (min. 50 EUR, max. 250 EUR)

Other custodian services²

Manual processing of custodian instructions received on paper/fax	20 EUR
Transfer of income to a client's account to third banks	Charged as per payments/transfers commissions
Obtaining statement from external custodian upon request	50 EUR per statement
Additional portfolio statement (any statement requested by client in addition to monthly portfolio statement)	5 EUR per additional statement

Brokerage fees

Bonds	
Less than 10m USD ³	0,125% (min. 150 USD) ³
More than 10m USD ³	0,0625%
Equities	
Less than 100.000 USD ³	0,25% (min. 200 USD) ³
100.000 - 999.999 USD ³	0,20%
1m - 10m USD ³	0,15%
More than 10m USD ³	Individual
REPO for bonds and equities	REPO fees are agreed on case by case basis and depend on term, currency and type of the instrument involved

Where a fee or charge is levied in a currency other than the currency of the account, the above commissions and charges are converted into the relevant currency equivalent at the Bank's exchange rate.

¹ Charge is accrued on market value of client's holding. In case market value is not available, the nominal is used for bonds, for shares a default price of 2 EUR per share is applied. Standard safekeeping tariff is applicable for international securities, US, GB, IE, RU. Safekeeping of securities in other local markets may involve additional costs.

² The third parties charges (Global Depositary Receipt (GDR) agency fees, notary, postage etc.) are to be reimbursed by the client at cost.

³ Or equivalent in applicable currency.

800 00 722 | +357 25 355 722 | www.rcbcy.com

RCB Bank Ltd shall not be liable for any delay and/or omission and/or misunderstanding etc. due to unclear, incomplete and/or nonspecific orders of the clients. Taxes, fees, telecommunication, mail and telegraph expenses, charges of correspondent banks as well as other reasonable expenses including out-of-pocket (if any) shall be charged additionally based on the actual incurred costs. When executing payment orders bank's fees are deducted from amount of transfer in case instructions contain clause "charges for the account of beneficiary". In all other cases bank fees and other expenses are charged in accordance with the instructions of the transferor. In such cases the balance on the account of the client should be sufficient to include the amount of payment and the applicable fees. Fees for services charged by the Bank (except by error) shall not be refunded. Foreign exchange rates applicable for payments are available on the web site of the Bank at www.rcbcy.com or by contacting any of the RCB Bank Ltd branches and can be changed at any time. RCB Bank Ltd. Private Company. Registration number: 72376. Registered office: 2, Amathountos street, 3105 Limassol, Cyprus.